



2163

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2/DS

IN RE APPLICATION OF : J. Michael Sposito  
FOR : AUTOMATIC STOCK SELL/STOP MONITOR  
PROCESS  
SERIAL NO. : 09/758/094  
FILED : January 10, 2001  
LAST OFFICE ACTION : Unknown  
EXAMINER : Unknown  
GROUP ART UNIT : 2163  
ATTORNEY DOCKET NO. : 0903.0003

RECEIVED  
APR 16 2001  
Technology Center 2100

Akron, OH 44308-1136  
April 9, 2001

\*\*\*\*\*

**CERTIFICATE OF MAILING**

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on this 9 day of April, 2001.

By: Janet E. Sigler  
Janet E. Sigler

\*\*\*\*\*

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97**

Dear Sir:

In accordance with 37 C.F.R. § 1.56 the applicant files this Information Disclosure Statement (IDS) under at least one of the following five circumstances:

1. ☒ **No Information to disclose:** applicant has no information to disclose at this time.
2. ☐ **Under § 1.97(i):** this IDS is filed with the knowledge that it will NOT be considered.
  - this IDS is filed BEFORE the grant of a patent; AND
  - this IDS does NOT comply with the requirements noted below
  - applicant notes that the information disclosed herein WILL be placed in the file
3. ☒ **Under § 1.97(b):** this IDS should be considered because it is being filed
  - within 3 months of the filing date of a national application OR
  - within 3 months of the date of entry of the national stage of an international appl. OR
  - before the mailing date of a first Office Action on the merits, whichever occurs last.
4. ☐ **Under § 1.97(c):** this IDS should be considered because it is being filed
  - after the period specified Under § 1.97(b) above but BEFORE either
  - the mailing date of a final action under § 1.113 OR
  - the mailing date of a notice of allowance under § 1.311, whichever occurs last,
  - AND is accompanied by either:

A) ☐ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) ☐ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; OR

B) ☐ A check in the amount of \$ 240.00 is enclosed to cover the Information Disclosure Statement (IDS) Fee under 37 C.F.R. § 1.17(p) as required when neither item (1) nor (2) above are selected.

5. ☐ **Under § 1.97(d):** this IDS should be considered because it is being filed
  - after the period specified Under § 1.97(c) above but
  - ON OR BEFORE payment of the issue fee,
  - and is accompanied by:

A) ☐ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) \_\_\_\_\_ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) \_\_\_\_\_ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; AND

B) \_\_\_\_\_ a petition requesting consideration of the IDS; AND

C) \_\_\_\_\_ A check in the amount of \$ 130.00 is enclosed for the petition fee as set forth under 37 C.F.R. § 1.17(i).

In accordance with § 1.56 and § 1.97 the references listed below and on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these cited documents are enclosed.

**English-Language Documents**

Patentee	Patent No.	Issue Date
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**Foreign Patent Documents in English**

Country	Patent No.	Issue Date
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**Foreign-Language Documents**

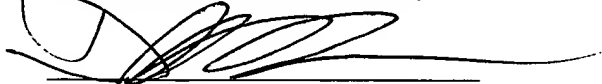
Country	Patent No.	Issue Date
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☒ Please charge deposit account No. 05-0875 if any additional fees are required.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

Respectfully submitted,

**EMERSON & SKERIOTIS**



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April 9, 2001  
Date



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE

Sheet 1 of 1

(Rev. 2-32)

PATENT AND TRADEMARK OFFICE

AUTOMATIC STOCK SELL/STOP MONITOR PROCESS

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

0903.0003

SERIAL NO.

09 758 094

APPLICANT

J. Michael Sposito

FILING DATE

1/10/01

GROUP

2163

**RECEIVED**  
APR 16 2001  
Technology Center 2100**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED